

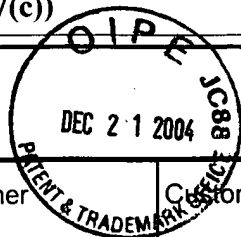
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. FIS920010069US1	
In Re Application Of: Casimer M. DeCusatis, et al.					(14401)	
Application No. 09/865,256	Filing Date May 25, 2001	Examiner Dung T. Nguyen	Customer No. 23389	Group Art Unit 2855	Confirmation No. 3977	
Title: APPARATUS AND METHOD FOR WAVELENGTH-LOCKED LOOPS FOR SYSTEMS AND APPLICATIONS EMPLOYING ELECTROMAGNETIC SIGNALS						
<div style="text-align: center;"> <p>Address to:</p> <p>Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> </div> <div style="text-align: center; margin-top: 10px;"> <p>37 CFR 1.97(b)</p> </div> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <div style="text-align: center; margin-top: 20px;"> <p>37 CFR 1.97(c)</p> </div> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="margin-left: 40px; margin-top: 10px;"> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center; margin-top: 10px;">OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> </div>						

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
FIS920010069US1

In Re Application: Casimer M. DeCusatis, et al.

(14401)



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/865,256	May 25, 2001	Dung T. Nguyen	23389	2855	3977

Title: **APPARATUS AND METHOD FOR WAVELENGTH-LOCKED LOOPS FOR SYSTEMS AND EMPLOYING ELECTROMAGNETIC SIGNALS**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 09-0458/IBM as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

(Date)

Signature


Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

December 17, 2004

(Date)


Signature of Person Mailing Correspondence

Steven Fischman

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.


Signature

Dated: December 17, 2004

Steven Fischman

Registration No. 34,594

SCULLY, SCOTT, MURPHY & PRESSER

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Casimer M. DeCusatis, et al.

Examiner: Dung T. Nguyen

Serial No.: 09/865,256

Group Art Unit: 2855

Filed: May 25, 2001

Docket: FIS920010069US1 (14401)

For: APPARATUS AND METHOD FOR
WAVELENGTH-LOCKED LOOPS
FOR SYSTEMS AND APPLICATIONS
EMPLOYING ELECTROMAGNETIC SIGNALS

Dated: December 17, 2004

Confirmation No. 3977

Mailstop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 5,068,864, dated November 26, 1991, issued to Javan;
2. U.S. Patent No. 5,543,935, dated August 6, 1996, issued to Harrington;

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on December 17, 2004.

Dated: December 17, 2004


Steven Fischman

3. International Patent Application No. WO 99/43060 dated August 26, 1999; and
4. European Patent Application No. EP 0 840 468 A3, dated May 6, 1998.

Applicants are submitting a copy of International Patent Application No. WO 99/43060 and European Patent Application No. EP 0 840 468 A3. In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i), per 1276 OG 55, August 5, 2003, applicants are not required to submit copies of the above-cited U.S. Patent references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

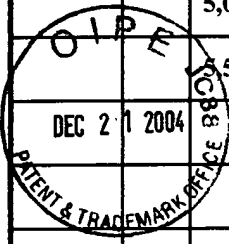


Steven Fischman
Registration No. 34, 594

Scully, Scott, Murphy & Presser
400 Garden City Plaza, Suite 400
Garden City, New York 11530
(516) 742-4343

SF:gc

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) FIS920010069US1 (14401)		Application Number 09/865,256	
				Applicant(s) Casimer M. DeCusatis, et al.			
				Filing Date May 25, 2001		Group Art Unit 2855	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,068,864	11/26/91	Javan			
		5,543,935	08/06/96	Harrington			

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 99/43060	08/26/99	PCT				
		EP 0 840 468 A3	05/06/98	Europe				

OTHER DOCUMENTS			(Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.